

General Assembly

Raised Bill No. 5353

February Session, 2022

LCO No. 1906



Referred to Committee on LABOR AND PUBLIC EMPLOYEES

Introduced by: (LAB)

AN ACT CONCERNING A FAIR WORK WEEK SCHEDULE.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

- 1 Section 1. (NEW) (*Effective October 1, 2022*) (a) As used in this section:
- 2 (1) "Employee" means any person (A) paid on an hourly basis, (B) not
- 3 exempt from the minimum wage and overtime compensation
- 4 requirements of the Fair Labor Standards Act of 1938 and the
- 5 regulations promulgated thereunder, as amended from time to time, (C)
- 6 suffered or permitted to work by an employer, and (D) employed in an
- 7 occupation in the mercantile trade, a restaurant occupation or a
- 8 hospitality occupation. An alleged employer bears the burden of proof
- 9 that the individual is, under applicable law, an independent contractor
- 10 rather than an employee of the alleged employer;
- 11 (2) "Employer" means a retail establishment, a food services
- 12 establishment or a hospitality establishment that is (A) an employer, as
- defined in section 31-71a of the general statutes, that employs not less
- 14 than five hundred employees globally and, for an employer that is a
- 15 restaurant where food is prepared, served and consumed on the

LCO No. 1906 **1** of 9

- 16 premises, such employer has not less than thirty restaurant locations
- globally, or (B) a franchisee, as defined in section 42-133e of the general
- 18 statutes, if the global network of franchises employs not less than five
- 19 hundred employees in the aggregate;
- 20 (3) "Food services establishment" means the fixed point of service
- 21 location for food services contractors, caterers, mobile food services,
- 22 bars, full service restaurants, limited service restaurants, cafeterias, grill
- 23 buffets and buffets, and snack and nonalcoholic beverage bars, as
- 24 defined under section 722 of the 2022 North American Industry
- 25 Classification System ("NAICS"), or other classification or subsequent
- 26 edition of the NAICS designated pursuant to regulation adopted by the
- 27 Labor Commissioner;
- 28 (4) "Hospitality establishment" means hotel, motel, or casino hotel, as
- 29 defined under sections 721110 and 721120 of the 2022 North American
- 30 Industry Classification System ("NAICS"), or other classification or
- 31 subsequent edition of the NAICS designated pursuant to regulations
- 32 adopted by the Labor Commissioner;
- 33 (5) "Regular rate" has the same meaning as provided in section 31-
- 34 76b of the general statutes;
- 35 (6) "Retail establishment" means the fixed point of sale location for an
- 36 establishment defined under sections 4410 through 4599 of the 2022
- 37 North American Industry Classification System ("NAICS"), or other
- 38 classification or subsequent edition of the NAICS designated pursuant
- 39 to regulations adopted by the Labor Commissioner;
- 40 (7) "Scheduled work hours" means the hours an employee is
- 41 scheduled to work pursuant to a work schedule;
- 42 (8) "Shift" means the consecutive hours an employer schedules an
- 43 employee to work, or to be available to report to work at the request or
- 44 permission of the employer, except a break of not more than one hour
- 45 shall not be considered an interruption of consecutive hours;

LCO No. 1906 **2** of 9

(9) "Work schedule" means a written notice of an employee's regular and on-call hours, including specific start and end times for each shift, during a consecutive seven-day period;

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- (10) "Work schedule change" means any employer-initiated modification to the employee's work schedule, including (A) the addition or reduction of hours, (B) cancellation of a work shift or portion of a work shift, (C) a change in the date, time or location of a work shift, or (D) scheduling the employee for an on-call work shift for which the employee does not need to report to work; and
- (11) "Whistleblower" means a person with knowledge of an alleged violation of this section, regardless of whether the person is aggrieved by the violation, or a representative of such person.
- (b) Upon hiring an employee, an employer shall: (1) obtain a written statement of the employee's desired number of weekly work hours and the days and times the employee is available to work. The employer shall notify the employee that the employee may modify such written statement at any time and the employer shall revise the written estimate of the employee's work schedule if there is a significant change to such schedule due to changes in the employee's availability or to the employer's business needs; and (2) provide the employee with a written estimate of the employee's work schedule. Such written estimate shall not be considered a contractual offer binding the employer and shall contain: (A) The average number of work hours the employee can expect to work each week; (B) the minimum and maximum numbers of work hours the employee can expect to work each week; (C) the minimum length of shifts that the employee can expect to work; and (D) the number of days, the amount of time and the number of shifts that the employee can expect to work, and days of the week and times or shifts on which the employee will not be scheduled to work. An estimate made without a basis in good faith shall be a violation of this subsection. An employer is not in violation of any provision of this subsection when an employee's average weekly work hours significantly exceed the number provided in the written estimate if the employer makes every

LCO No. 1906 3 of 9

effort to schedule the employee for the employee's desired number of weekly work hours.

- (c) Not later than the date of an employee's first shift, the employer shall provide to the employee the employee's work schedule for the period commencing on the date of the employee's first shift and ending on the last date of the seven-day period covered by the work schedule posted by the employer pursuant subsection (d) of this section. Thereafter, the employer shall notify the employee of the employee's work schedule in accordance with the provisions of subsection (d) of this section.
- (d) Not later than fourteen days prior to the first date of the sevenday period of any work schedule, an employer shall post the work schedule in a conspicuous place that is readily accessible and visible to all employees at the workplace and shall transmit such schedule to each employee. Such transmission may be electronic if electronic means are regularly used to communicate scheduling information to employees. The work schedule shall identify all employees currently employed at the worksite, whether or not such employees are scheduled to work any hours in the work schedule.
- (e) An employer shall provide to each employee written notice of any work schedule change as soon as possible and prior to such change taking effect. Not later than twenty-four hours after making a change to the work schedule, the employer shall revise the posted work schedule to reflect the change. An employee may decline to work any hours not included in the posted work schedule. If the employee voluntarily consents to work such hours, such consent shall be recorded in writing.
- (f) (1) Except as provided in subdivision (2) of this subsection, an employer shall pay an employee: (A) One hour of pay at the employee's regular rate for each instance that the employer, less than seven days prior to the commencement of scheduled work hours, adds one or more hours of work or changes the date, time or location of a work shift without a reduction of hours, and (B) one-half of the employee's regular

LCO No. 1906 **4** of 9

rate for any scheduled work hours the employee does not work due to the employer cancelling or reducing the employee's scheduled work hours: (i) After the employee reports to work such scheduled work hours, or (ii) less than seven days prior to the commencement of such scheduled work hours.

- (2) The provisions of subdivision (1) of this subsection shall not apply if the employee's scheduled work hours are changed due to: (A) The employee's written request, including, but not limited to, a request to use sick leave, vacation leave or other leave pursuant to the employer's policy; (B) a mutually agreed-upon shift trade or coverage arrangement between employees, subject to an existing employer policy regarding such shift trade or coverage arrangement; or (C) the inability of the employer's operations to begin or continue due to (i) the failure of a public utility or the shutdown of public transportation, (ii) fire, flood or other natural disaster, or (iii) an emergency declaration issued by the President of the United States or the Governor of this state.
- (g) An employee may decline to work any shift that begins less than eleven hours after the end of the employee's previous day's shift or during the eleven-hour period following the end of a shift that spanned more than one day. If an employee consents to work such shift, such consent shall be in writing and the employee shall be compensated at one and one-half times the employee's regular rate of pay for any hours worked during the shift for which the employee consented.
- (h) An employee may request adjustments to such employee's work schedule, including, but not limited to, requests: (1) Not to be scheduled for work shifts during certain days or times or at certain locations; (2) for certain hours, days or locations of work; (3) for more or fewer work hours; and (4) to be scheduled consistently for a specified or minimum number of weekly work hours. The employer shall engage in an interactive process to discuss such employee requests, but may grant or deny the request for any bona fide business reason that is not unlawful.
 - (i) Each employer subject to the provisions of this section shall, unless

LCO No. 1906 5 of 9

exempted by regulations adopted by the Labor Commissioner pursuant to subsection (k) of this section, keep a true and accurate record for not less than three years of: (1) The shifts worked each day and each week by each of its employees, (2) each employee's work schedule, and (3) any revisions to such work schedule.

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- (j) Nothing in this section shall be construed to prohibit an employer from adopting policies related to employee scheduling that are more favorable to an employee than those required by this section.
- (k) Prior to hiring a new employee from an external applicant pool or through a contractor, including a temporary help service or an employment agency, as defined in section 31-129 of the general statutes, an employer shall make every effort to schedule existing employees for the desired number of weekly work hours identified in the written statements provided pursuant to subsection (b) of this section, provided the employer may hire a new employee if existing employees lack, and cannot obtain with reasonable training, the qualifications necessary to perform the duties of the position being filled. This section shall not be construed to require any employer to schedule employees to work hours required to be paid at an overtime rate under state or federal law. If an employer fails to offer existing employees opportunities to work their desired number of weekly work hours before hiring a new employee, the employer shall compensate existing employees at the employees' regular hourly rate for hours worked by a newly hired employee that occurred within the existing employees' written availability.
- (l) The Labor Commissioner may adopt regulations, in accordance with the provisions of chapter 54 of the general statutes, to implement and enforce the provisions of this section.
- (m) Any person aggrieved by a violation of any provision of this section, the Labor Commissioner, the Attorney General or any entity, a member of which is aggrieved by a violation of this section, may bring a civil action in the Superior Court to recover damages, civil penalties

LCO No. 1906 **6** of 9

and such equitable and injunctive relief as the court deems appropriate. Any individual who prevails in such civil action shall be awarded reasonable attorney's fees and costs to be taxed by the court. An employer that knowingly violates a provision of subsections (b) to (f), inclusive, of this section or subsection (k) of this section, shall pay a civil penalty of two hundred dollars to the Labor Commissioner for each employee affected by the violation during each pay period the violation continued.

(n) The Labor Commissioner, or, in the case of a civil action, the Superior Court, may grant the following relief to an employee or former employee for a violation of any provision of this section, in addition to, or as an alternative to, any other remedies provided by law:

- 187 (1) All compensatory damages and other relief required to make the 188 employee or former employee whole;
- 189 (2) An order directing the employer to comply with the 190 recordkeeping requirements of subsection (i) of this section; and
 - (3) (A) For each violation of a provision of subsections (c) to (e), inclusive, of this section, two hundred dollars and an order directing compliance with said subsections; (B) for each violation of a provision of subsection (f) of this section, payment of compensation withheld in violation of said subsection, three hundred dollars, and an order directing compliance with said subsection; (C) for each violation of a provision of subsection (b) of this section, two hundred dollars, and an order directing compliance with said subsection; and (D) for each violation of a provision of subsection (k) of this section, the greater of five hundred dollars or such employee's actual damages, and an order directing compliance with said subsection. The relief authorized pursuant to this subsection shall be imposed on a per employee and per instance basis for each violation.
 - (o) (1) (A) A whistleblower may, on behalf of the state, bring a civil action in the Superior Court against an employer who violates any provision of this section to seek equitable remedies or penalties

LCO No. 1906 7 of 9

- equivalent to the statutory damages described in subdivision (3) of subsection (n) of this section. The state may intervene in an action at any time from the commencement of the action to thirty days after the commencement of the action. After thirty days, the state may intervene with permission from the court.
- (B) Not less than thirty days before the action is filed, the whistleblower shall give written notice to the Labor Commissioner of the specific provisions of this section that the whistleblower alleges that an employer violated. The commissioner may prosecute the action in the name of the Labor Department or allow the whistleblower to proceed on behalf of the state.
 - (2) The proceeds of any judgment entered in favor of a whistleblower pursuant to this section shall be distributed as follows: (A) Seventy-five per cent to the division for enforcement of this section; and (B) twenty-five per cent to the first whistleblower who filed the action.
 - (3) In addition to the amount described in subdivision (2) of this subsection, the court shall award reasonable attorney's fees to a whistleblower who prevails in an action brought pursuant to subdivision (1) of this subsection.
- (4) The right to bring an action under this section is not impaired by any private contract.
- (5) An action under this section shall be tried promptly and without regard to concurrent adjudication of private claims.

This act shall take effect as follows and shall amend the following		
sections:		
Section 1	October 1, 2022	New section

Statement of Purpose:

To require certain employers to provide advance notice to certain employees of the employees' work schedule.

LCO No. 1906 8 of 9

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]

LCO No. 1906 **9** of 9